

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Wenyuan Shi et al.Application No./Patent No.: 10/077,624Filed/Issue Date: February 14, 2002Entitled: Anti-Microbial Targeting Chimeric PharmaceuticalThe Regents of the University of California, a University

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: Regents and Washington Dental Service
The document was recorded in the United States Patent and Trademark Office at
Reel 012772, Frame 0979, or for which a copy thereof is attached.
2. From: Washington Dental Service To: C3 Scientific Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 013462, Frame 0679, or for which a copy thereof is attached.
3. From: C3 Scientific Corporation To: Regents of the University of California
The document was recorded in the United States Patent and Trademark Office at
Reel 016995, Frame 0962, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Cheryl Silverman
Signature

11 January 2003
Date

Cheryl Silverman
Printed or Typed Name

310-794-0561
Telephone Number

Patent Prosecution Manager
Title